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|---|--|--|---|
| <b>United States Environmental Protection Agency</b><br>Washington DC 20460<br><b>EPA</b>   |  | 1. EPA / IAG Identification Number<br>DW19933945-01-A  | 4. Funding Location by Region<br>HQ       |
| Interagency Agreement / Amendment<br><b>Part I - General Information</b>  |  | 2. Other Agency ID Number  | 5. Program Office Abbreviation<br>OPPE/HQ |
| 6. Name and Address of EPA Organization<br>US ENVIRONMENTAL PROTECTION AGENCY<br>OPPE/OPA/CCD (2122)<br>401 M STREET, S.W.<br>WASHINGTON, DC 20460                      |  | 7. Name and Address of Other Agency<br>STATE DEPARTMENT OF<br>ROOM 7821, OES/EX<br>2201 C ST NW<br>WASHINGTON, DC 20520  |   |
| 8. Project Title     SUPPORT OF THE INTERGOVERNMENTAL PANEL ON CLIMATE CHANGE   |  |  |   |
| 9. EPA Project Officer (Name, Address, Telephone Number)<br>TIRPAK, DENNIS<br>USEPA/OPPE/OPA/CCD (2122)<br>401 M STREET, S.W.<br>WASHINGTON, DC 20460<br>(202) 260-8825 |  | 10. Other Agency Project Officer (Name, Address, Telephone Number)<br>MCGRUDER, JOHN L., EXECUTIVE DIRECTOR<br>DEPARTMENT OF STATE, ROOM 7821, OES/EX<br>2201 C STREET, N.W.<br>WASHINGTON, DC 20520<br>(202) 647-3622 |   |
| 11. Project Period<br>03/15/89 to 09/30/95  |  | 12. Budget Period<br>10/01/94 to 09/30/95  |   |
| 13. Scope of Work (Attach additional sheets, as needed)   |  |  |   |

See attached Scope of Work.

TRANSFERRED to EPA for DIRECT REPLY

Please send final copy of agreement to Beth Sciumeca (2122)

NO OBJECTION TO FULL RELEASE

EPA Grants Specialist for this IAG is Felicia Tarver, 202-260-8277.

Signed acceptance must be returned to address in block 29, page 3 of this EPA Form.

 COMMITMENT VERIFIED  
 Date 8/16/95 By J. Tarver


| 4. Statutory Authority for Both Transfer of Funds and Project Activities<br>CLEAN AIR ACT: SEC. 103 |                 | 15. Other Agency Type<br>FEDERAL AGENCY |               |
|---|-----------------|---|---------------|
| FUNDS   | PREVIOUS AMOUNT | AMOUNT THIS ACTION                      | AMENDED TOTAL |
| 6. EPA Amount   | 487,600         | 785,000                                 | 1,272,600     |
| 7. EPA In-Kind Amount   | 0               | 0                                       | 0             |
| 8. Other Agency Amount  | 0               | 0                                       | 0             |
| 9. Other Agency In-Kind Amount  | 0               | 0                                       | 0             |
| 10. Total Project Cost  | 487,600         | 785,000                                 | 1,272,600     |
| 1. Fiscal Information   |                 |   |               |
| Site Name   | DCN             | FY                                      | Approp.       |
|   |                 |   | Budget Org.   |
|   |                 |   | Program Elem. |
|   |                 |   | Object        |
|   |                 |   | Site/Project  |
|   |                 |   | Cost Org.     |
|   |                 |   | Obligation    |

(See continuation page)

NO CLASSIFICATION MARKED

## 21. FISCAL (continued)

| Site Name | DCN    | FY | Approp. | Budget<br>Organization | Program<br>Element | Object<br>Class | Site/Project | Cost<br>Organization | Obligation /<br>Deobligation |
|-----------|--------|----|---------|------------------------|--------------------|-----------------|--------------|----------------------|------------------------------|
|           | GC0007 | 95 | 5B      | 41G42                  | BHC                | 2507            |              |                      | 285,000                      |
|           | GC0007 | 95 | 5B      | 41C00                  | BHC                | 2507            |              |                      | 150,000                      |
|           | PCR026 | 95 | 5B      | 13A                    | B3H                | 2507            |              |                      | 70,000                       |
|           | AC0023 | 95 | 5B      | 58A                    | BYH                | 2507            |              |                      | 235,000                      |
|           | W50006 | 95 | 5C      | 26W12                  | CNR                | 2507            |              |                      | 45,000                       |



| Part II - Approved Budget                                    |                            | EPA IAG Identification Number<br>DW19933945-01-A    |
|--|----------------------------|---|
| 22. Budget Categories  | Itemization of This Action | Itemization of Total Project Estimated Cost to Date |
| a) Personnel   | 0                          | 0   |
| b) Fringe Benefits   | 0                          | 0   |
| c) Travel  | 0                          | 13,000  |
| d) Equipment   | 0                          | 0   |
| e) Supplies  | 0                          | 0   |
| f) Procurement / Assistance                                  | 785,000                    | 1,259,600   |
| g) Construction  | 0                          | 0   |
| h) Other   | 0                          | 0   |
| i) Total Direct Charges                                      | 785,000                    | 1,272,600   |
| j) Indirect Costs: Rate <u>0.00%</u> Base \$                 | 0                          | 0   |
| k) Total:  |                            |   |
| EPA Share: <u>100.00%</u> (Other Agency Share <u>0.00%</u> ) | 785,000                    | 1,272,600   |

3. Is Equipment authorized to be furnished by EPA or leased, purchased, or rented with EPA funds? ☐ Yes ☒ No  
(Identify all equipment costing \$1000 or more.)

4. Are any of these funds being used on extramural agreements? (See Item 22f.) ☒ Yes ☐ No

Type of extramural agreement ☐ Grant ☐ Cooperative Agreement ☒ Procurement (Includes Small Purchase Order)

|  |   |  |
|--|---|--|
| Contractor / Recipient Name (If known)<br>IPPC & INC | Total Extramural Amount under this Project<br>1,259,600 | Percent Funded by EPA (If known)<br>100.00 |
|--|---|--|

### Part III - Funding Methods and Billing Instructions

5. ☒ Funds-Out Agreement (Note: EPA Agency Location Code (ALC) - 68010727)

☒ Disbursement Agreement

☒ Repayment Request for repayment of actual costs must be itemized on SF-1080 and submitted to the Financial Management Office, Cincinnati, OH 45268:  
☐ Monthly ☒ Quarterly ☐ Upon Completion of Work

☐ Advance Only available for use by Federal agencies on working capital fund or with appropriate justification of need for this type of payment method. Unexpended funds at completion of work will be returned to EPA. Quarterly cost reports will be forwarded to the Financial Management Center, EPA, Cincinnati, OH 45268.

☐ Allocation Transfer-Out Used to transfer obligational authority or transfer of function between Federal agencies. Must receive prior approval by the Office of the Comptroller, Budget Division, Budget Formulation and Control Branch, EPA Hdqtrs. Forward appropriate reports to the Financial Reports and Analysis Branch, Financial Management Division, PM-226F, EPA, Washington, DC 20460.

5. ☐ Funds-In Agreement

☐ Reimbursement Agreement ☐ Repayment  
☐ Allocation Transfer-In ☐ Advance

|   |   |
|---|---|
| Other Agency's IAG Identification Number                              | EPA Program Office Allowance Holder/Resp. Center No.<br>41G |
| Other Agency's Billing Address (Include ALC or Station Symbol Number) | Other Agency's Billing Instruction and Frequency            |

## Part IV - Acceptance Conditions

EPA IAG Identification Number  
DW19933945 -01-A

## 27. General Conditions

The other agency covenants and agrees that it will expeditiously initiate and complete the project for which funds have been awarded under this agreement.

## 28. Special Conditions (Attach additional sheets if needed)

Remain the same

## Part V - Offer and Acceptance

Note: 1) For Funds-out actions, the agreement/amendment must be signed by the other agency official in duplicate and one original returned to the Grants Administration Division for Headquarters agreements or to the appropriate EPA Regional IAG administration office within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. The agreement/amendment must be forwarded to the address cited in Item 29 after acceptance signature.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time may result in the withdrawal of the offer by EPA. Any change to the agreement/amendment by the other agency subsequent to the document being signed by the EPA Action Official, which the Action Official determines to materially alter the agreement/amendment, shall void the agreement/amendment.

2) For Funds-in actions, the other agency will initiate the action and forward two original agreements/amendments to the appropriate EPA program office for signature. The agreements/amendments will then be forwarded to the appropriate EPA IAG administration office for acceptance signature on behalf of the EPA. One original copy will be returned to the other agency after acceptance.

EPA IAG Administration Office (for administrative assistance)

EPA Program Office (for technical assistance)

## 29. Organization/Address

Grants Information and Analysis Branch  
Grants Administration Division  
US EPA (3903F)  
401 M St., SW  
Washington, DC 20460

## 30. Organization/Address

US EPA  
Climate Change Division  
Mail Code 2122  
401 M St., SW  
Washington, DC 20460

SIGNED ACCEPTANCE MUST BE RETURNED TO THIS OFFICE

## Certification

All signers certify that the statements made on this form and all attachments thereto are true, accurate, and complete. Signers acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.

## Decision Official on Behalf of the Environmental Protection Agency Program Office

31. Signature

Typed Name and Title

Date

Richard Morgenstern, Dir., OPA

1/25/96

## Action Official on Behalf of the Environmental Protection Agency

32. Signature

Typed Name and Title

Date

W. Scott McMoran, Chief  
Grants Information and Analysis Br.

2/17/95

## Authorizing Official on Behalf of the Other Agency

33. Signature

Typed Name and Title

Date

AUSTIN MCMALE  
DEPT. OF ENV. DIR.

2/23/95



## SCOPE OF WORK

### Interagency Agreement with the Department of State

#### Background

The Intergovernmental Panel on Climate Change (IPCC) is the primary forum for international scientific assessments of global climate change. The International Negotiating Committee for the Framework Convention on Climate Change (INC FCCC) is the institution, established by the United Nations General Assembly, through which governments negotiate agreements for responding to climate change. The U.S. has ratified the FCCC and is an active member of both the IPCC and the INC. The activities of both institutions are part of an international effort to understand and respond to pollution of the global atmosphere. They are supported in part by voluntary annual contributions from participating governments. The contributions support the substantive work of both organizations and facilitate the participation in, and hence the scientific legitimacy and acceptance of, such work by developing countries and economies in transition. U.S. contributions are shared on an interagency basis and processed by the State Department.

#### FY-95 Tasks

##### 1. IPCC

The IPCC will complete its Second Assessment of Global Climate Change. This study will include a review of the science (including estimates of greenhouse gas emissions, atmospheric concentrations, and changes in the temperature of the stratosphere and troposphere), environmental impacts and response options (including effects on ecosystems, agriculture, forests, sea level rise, and water resources), and economic research on the costs and benefits to society. The IPCC will conduct a comprehensive peer review by scientists and governments prior to publication.

Product: Three Volume Report in December 1995

2. INC-COP I The following tasks will be conducted by the Subsidiary Body on Scientific and Technological Assessment, in association with the Subsidiary Body on Implementation, to support the Conference of the Parties.

a. The INC will assess scientific and technical methods for inventorying greenhouse gas emissions and removal by sinks, estimating effects of climate change, and developing mitigation options (technologies, practices, and processes). The assessment should include, for example, a review of emission forecasting tools, atmospheric models, adaptation methods, and economic models. The INC should

review current research and prepare recommended methods for use by governments in the preparation of national communications.

Product: A series of documents and workbooks for use by governments in complying with the FCCC (beginning in 1995).

b. The INC will develop technical guidelines for evaluating the effectiveness of national communications (measures and programs) in reducing pollution of the atmosphere. These guidelines will include scientific methods, national circumstances, financing mechanisms, and research and development activities. The INC will evaluate the guidelines through a series of national reviews and prepare recommendations for the COP (1996 -97).

Product: A series of reports on the effectiveness of national plans from Annex I countries for COP2 and 3. A paper summarizing the results and recommending changes to the technical guidelines for consideration at COP (1996-97).

c. The INC will identify innovative and state of the art technologies and prepare advice on the means of promoting the development and transfer of such technologies. The INC will review available studies and information, as well as programs to deploy technologies. These efforts should be directed at identifying barriers to developing and deploying technology and options to overcome the barriers. The types of activities to be considered may include: country studies, expert centers, financial mechanisms and incentives, national research and development programs, and other institutional arrangements.

Product: A study of innovative and state of the art technologies to reduce emission and adapt to climate change for the COP.

#### Deliverables

All reports are to be provided to the EPA project officer and representative to the IPCC and INC,